INTERNATION DISTRICT COLI

SOUTHERN DISTRICT OF NEW YO		,
SUSAN LEVY,	. *	
Plaintiff,) Civil Action No. 15-CV-7317 (GHW)
V.)))
BASF METAL LTD, ET. AL)
Defendants.)

DECLARATION OF SUSAN LEVY, ESQ.

SUSAN LEVY makes the following declaration under the penalty of perjury in accordance with 28 U.S.C. § 1746:

- 1. I am a member in good standing of the Bar of this Court, and plaintiff pro se.
- 2. I respectfully submit this Declaration in support of Plaintiff's Opposition to the UBS defendants' Supplemental Motion to Dismiss the Plaintiff's Second Amended Complaint and to place certain documents before this Court.
- 3. Attached as Exhibit 1 is a correct copy of relevant portions of the UBS Group AG and/or US AG Form 20-F filed with the Securities an Exchange Commission for the Calendar year ending on December 31, 2015. This document is also is publicly available.

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4. According to this Form 20-F, for the calendar year 2015, there were 19,897

employees of UBS AG and/or their affiliates working in the United States out of a total

of 60,099. As such approximately 33% of the total UBS workforce is located in the

United States.

5. Out of the total operating income of UBS of \$30.6 Billion Swiss francs,

\$10.7 Billion Swiss Francs were earned in the United States. Thus, 35% of UBS's total

worldwide income is attributed to its operations in the United States.

6. Annexed hereto as Exhibit 2 is a true and correct copy of the Plea

Agreement entered into between UBS AG and the United States dated May 20, 2015.

7. Attached hereto as Exhibit 3 is true and complete copy of Exhibit 1 to the

Plea Agreement dated May 20, 2015 between UBS AG and the United States.

8. Annexed hereto as Exhibit 4 is a true and correct copy of the CME Group's

official list of clearing house members which include UBS Securities, LLC.

I declare under penalty of perjury that the foregoing is true and correct.

Dated:

September 19, 2016 New York, New York

York, New York

/s/ Susan Levy
Susan Levy